

# VOLUNTARY PENSION FUND MEMBERSHIP APPLICATION



PLEASE FILL IN THIS FORM **IN BLUE INK**, IN CAPITAL LETTERS. FOR DATA ENTRY PURPOSES WE NEED **THE ORIGINAL FORM**.

## FUND MEMBER'S DATA

Data are required to establish fund membership and to fulfil rights and obligations with regard to membership. We are obliged to record data required to identify the client pursuant to anti-money laundering regulations.

Name:	<input type="text"/>	Place of birth:	<input type="text"/>
Name at birth:	<input type="text"/>	Date of birth:	<input type="text"/>
Mother's name at birth:	<input type="text"/>	Gender:	<input type="checkbox"/> Male <input type="checkbox"/> Female
Citizenship:	<input type="text"/>	Tax ID:	<input type="text"/>
Type of ID document:	<input type="checkbox"/> Personal ID card <input type="checkbox"/> Driver's licence card <input type="checkbox"/> Passport		
Number of ID document:	<input type="text"/>	Number of address card:	<input type="text"/> (compulsory to specify if no address is given in the ID document)
Name of other ID document:	<input type="text"/>	Number of other ID document:	<input type="text"/>
Domicile:	<input type="text"/>	<input type="text"/>	
Mailing address (Residence):	<input type="text"/>	<input type="text"/>	

**Please provide your telephone number and e-mail address so that we can inform you on your membership with the utmost speed.** Data provision is voluntary, it is not subject to the fulfilment of the contract. Later on you may request any time that we do not contact you this way.

Mobile:	<input type="text"/>	Landline phone number:	<input type="text"/>	E-mail address:	<input type="text"/>
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- I request SMS notification in connection with the application procedure.** If yes, please give your phone number.
- I would like to make arrangements through the online customer service as well.** If yes, please give your e-mail address.
- I would like to use the e-Post service and to make arrangements through the online customer service.** If yes, please give your e-mail address.

Being aware of the provisions set out in the Statutes, I hereby request the Fund to send any consignments as specified in the Statutes and addressed to me electronically, via e-post.

Name of previous pension fund:

I the undersigned hereby declare that I am a member of the previous pension fund specified above and I intend to transfer to Aegon Voluntary Pension Fund. I hereby authorize Aegon Hungary Voluntary Pension Fund to act on my behalf in respect of my transfer, and to manage my data in connection therewith and supply them to the transferor pension fund. I hereby request the transferor pension fund to discontinue my membership and to transfer the amount on my individual account to Aegon Voluntary Pension Fund.

In the absence of a consent you are requested to initiate the transfer with the transferor pension fund.

- I, the undersigned declare that I am a member of another voluntary pension fund and I do not want to transfer to Aegon Voluntary Pension Fund.
- I am not a member of another voluntary pension fund.

## MEMBERSHIP FEE DATA

Membership fee undertaken:  % of the salary or  HUF/month.  Employer partly or entirely assumes the fee.

The exact amount of the employer's membership fee shall be recorded by the Fund in its record pursuant to the agreement concluded with the employer. If a lower membership fee is specified, membership shall be established by undertaking the standard membership fee according to the Statutes currently in effect.

**This section should only be filled in if your employer has an agreement with the Fund to assume membership fees, partly or entirely.**

Employer's Name:	<input type="text"/>	Tax number:	<input type="text"/>
Registered site:	<input type="text"/>	<input type="text"/>	
Telephone number:	<input type="text"/>		

- Frequency of membership fee payment:**  monthly  quarterly
- Method of membership fee payment:**  direct debit  wire transfer  postal cheque
- Portfolio selected:**  Classic  Balanced  Growth  Specialist absolute yield  MegaTrend

If you fail to indicate a portfolio, your savings will be deposited in the Balanced portfolio.

I hereby undertake to **index** (increase) my monthly membership fee to offset inflation:

The rate of indexation is the annual consumer price index for the year preceding indexation as published by the Hungarian Central Statistical Office plus 2%, to be rounded by the Fund to 100 HUF according to the rules of rounding. The first fee increase shall be due in the 12th month following the date of endorsement. Previous approval can be withdrawn at any time; the membership fee can also be modified at a rate different from the index. See the Statutes for details.

Date:	<input type="text"/>	Fund Member's signature:	<input type="text"/>
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**Pension fund membership is subject to the completion of the "Compulsory statement on country of tax residence" at the back!**

## COMPULSORY STATEMENT ON COUNTRY OF TAX RESIDENCE

I am a tax resident only in Hungary.

I am a tax resident in Hungary and in the following country (countries):

I am a tax resident only outside of Hungary, in the following country (countries):

Country of tax residence	Tax ID in country of tax residence	Reasons for absence of tax ID

I hereby acknowledge that it is compulsory to disclose the above data pursuant to relevant law. I acknowledge that the information specified in this form and the financial data referring to the pension fund account covered by this declaration (e.g. account balance) may be disclosed to the tax authorities of the countries where such account is kept, and may be shared with the tax authorities of the country (countries) in which I am registered as a taxable person. For more information see the Fund's website or contact the call centre.

## DESIGNATION OF BENEFICIARY

Pursuant to the law, we will inform the designated beneficiary on the management of his/her data.

**If you do not wish to designate a beneficiary, please leave blank the field below.** In the absence of a beneficiary being designated, if the fund member dies, the heir of the fund member as a natural person shall be entitled to receive the amount accumulated on the individual account. More than one beneficiary can be designated by using a Form to Notify Changes.

Name of beneficiary:	<input type="text"/>	Place of birth:	<input type="text"/>
Name at birth:	<input type="text"/>	Date of birth:	<input type="text"/>
Mother's name at birth:	<input type="text"/>	Proportion of benefit:	<input type="text"/> %
Residence:	<input type="text"/>	<input type="text"/>	
E-mail address:	<input type="text"/>		

## FUND MEMBER'S SIGNATURE

I hereby declare that I act in my own name in respect of the present transaction. I hereby declare that pursuant to the freedom of fund selection, I intend to be a member of Aegon Hungary Voluntary Pension Fund, and that the data I imparted are true and correct. I hereby consent to the management of my personal data by the Fund; if my declaration of entry is rejected, as long as a claim can be enforced in connection with such rejection. I have read and accepted the data protection information and the declaration on the management of personal data overleaf. I shall notify any changes to the data indicated in this declaration to the Pension Fund immediately, but within 5 days of such change at the latest. By signing this Application Form, I hereby acknowledge that I have familiarized with, accept, and consider as binding the Investment Policy of the Fund, as well as the risks involved in the selectable portfolio system.

Date: ,  Fund Member's signature:

## CONSENT TO MARKETING

The consent is voluntary, it is not included in the criteria for concluding and fulfilling the contract, you may withdraw it any time later on. Before giving your consent please read the guide attached to this application form, on the details of data management and the rights you are entitled to.

### Our own products

We ask for your consent so that the Pension Fund may send you information and promotional materials about news, campaigns and discounts related to fund membership electronically to the e-mail address you gave to the Pension Fund as long as your fund membership exists. We will use your name and e-mail address for such purpose.

Yes  No I hereby give my consent so that Aegon Voluntary Pension Fund may send me information and promotional materials about news, campaigns and discounts related to fund membership electronically to the e-mail address I gave to the Pension Fund as long as my fund membership exists.

### Products of the Aegon group of companies

We ask for your consent so that the Aegon Voluntary Pension Fund may transfer your name and other data required for identification and other contact information available to us (address, correspondence address, e-mail address, telephone number) to the member companies of Aegon Hungary group of companies as listed below in order for the company to contact you and send you promotional and advertising materials and messages to sell their products or a product mediated by the company, by means of postal or electronic letters or over the telephone. If you want to receive information pertaining to the products of the member companies of Aegon Hungary group of companies, please place an X in front of the company's name whose products you are interested in.

I give my consent so that the Aegon Voluntary Pension Fund may transfer my name and data required for my identification as well as my contact information to

Yes  No Aegon Magyarország Általános Biztosító Zrt.

Yes  No Aegon Magyarország Pénztárszolgáltató Zrt.

Yes  No Aegon Magyarország Befektetési Alapkezelő Zrt.

for product acquisition purposes so that the marked company can send me promotional and advertising materials and messages to sell their products or a product mediated by the company, by means of postal or electronic letters or over the telephone.

Date: ,  Fund Member's signature:

## WITNESSES' AND ADVISOR'S DATA

**Acceptance of the consent to data disclosure, of beneficiary designation, and of the e-post request is subject to witnesses' data and signatures on the Membership Application.** We, the undersigned witnesses hereby certify that the above application on consent to data disclosure, and/or beneficiary designation, and/or e-post request was signed by the fund member in manuscript/recognized by the fund member as his/her own signature before us.

Name of witness 1: (in block letters)	<input type="text"/>	Name of witness 2: (in block letters)	<input type="text"/>
Address of witness 1:	<input type="text"/>	Address of witness 2:	<input type="text"/>
Signature of witness 1:	<input checked="" type="text"/>	Signature of witness 2:	<input checked="" type="text"/>

Amount of membership fee received by fund advisor:  HUF, that is  HUF.

The membership organizer is entitled to receive up to 100,000 HUF using the form for the acknowledgement of receipt, and is required to deposit it within 2 working days. Reception of any higher amount of membership fee is subject to the prior written approval of the Fund.

**I hereby certify that I verified the identity of the fund member based on his/her original and valid documents presented.**

Name of fund advisor:	<input type="text"/>	<div style="border: 1px solid black; padding: 10px; text-align: center;">place of postal cheque sticker</div>
Code of fund advisor:	<input type="text"/>	
Signature of fund advisor:	<input checked="" type="text"/>	

To ensure secure management and protection of your personal data is of primary importance to us. To this end we always ensure that we manage the information you provide only to such an extent that it is required for the fulfilment of any given process or measure, and we will take every technical and organizational measure to prevent unauthorized persons from accessing your data. This data protection guide will give you the necessary information on what happens to your data after you provide them to the pension fund.

### WHO DO I GIVE MY DATA TO?

Your data will be managed by Aegon Magyarország Önkéntes Nyugdíjpénztár (Aegon Hungary Voluntary Pension Fund), who is the Data Manager in respect of this guide.

- Seat: 1091 Budapest, Üllői út 1.
- Postal address: 1399 Budapest, Pf.: 717.
- Telephone: 06-1-477-4890
- E-mail address: [nypugyfel@aegon.hu](mailto:nypugyfel@aegon.hu)
- Website: [www.aegonnyugdij.hu](http://www.aegonnyugdij.hu)

Data Protection Officer:

- Name: dr. Orsolya Schwanner
- E-mail address: [nyp.adatvedelem@aegon.hu](mailto:nyp.adatvedelem@aegon.hu)

### WHY ARE MY DATA NECESSARY?

Data may be collected for a clearly determined, specific purpose (i.e. it is restricted to a purpose), and may only be managed in a manner which is compatible with such purpose.

In order to ensure lawful data management, the law clearly determines the legal grounds which necessitate the management of data, in cases when the stakeholder gives his/her consent, or if there are legal regulations to manage a certain data class, or when data management is required to fulfil a certain contract, or the Pension Fund's legitimate interest makes it possible.

#### 1. Data management in relation to pension fund membership

We need the data we ask for on the form to fulfil the services you ask from us, including the establishment of the Contract, keeping the records, crediting deposits, keeping the account, fulfilling payments, collecting debts.

Recording and management of certain data is required by the law to fight against money-laundering and the financing of terrorism, with the purpose of identifying account holders, taking the necessary client due diligence measures, establishing tax residence in order to mitigate the risk of tax evasion, and, in the event of a complaint, keeping complaints in a sufficient manner, fulfilling data provision obligations.

In certain instances you decide if you want to provide certain data, such as your telephone number and e-mail address, which are not conditions of fulfilling the contract, but may be useful to you because this way we can inform you on the facts and events related to your contract and the services you request.

During the life of the contract as well as after its termination within the limitation period there might be issues and claims related to settlement, cases of suspected fraud, which require the use of data for the purposes of inspection and settlement.

Data will not be deleted during the life of the contract, because fulfilment of the contract is not possible without such data. We will keep data after the termination of the contract because we are required to do so by law, and there might be civil or criminal claims pertaining to the contract within the limitation period, which necessitate such data to verify or fulfil them.

**If a representative is acting on behalf of the Client**, we will require data which are sufficient to identify the representative and to verify his/her authority to represent the Client.

We will use such data to fulfil the requested services, and – in certain cases – to take the necessary client due diligence measures as specified by the law.

#### 2. Data management for marketing purposes

In addition, we will use your data to develop our products and services, such as for the purposes of market research, and we may get promotional messages and information related to our own products to you.

In order to send you promotional messages electronically, we need your explicit consent.

If you would like to get information regarding the products and services of Aegon Hungary's affiliated companies, you may give your consent to the transfer of your data to these companies for the purposes of the sale of their products, and contacting you directly.

Later on you may decide any time that you do not wish to be contacted and you may withdraw your consent.

## WHO CAN THE PENSION FUND TRANSFER DATA TO?

Personal data may be accessed primarily by the company's employees and officers who need such data for fulfilling their job, and in some instances data may be transferred to external parties.

The Pension Fund is entitled to use a data processing company to perform technical activities pertaining to data management operations. The majority of administrative activities and certain expert tasks have been outsourced by the Pension Fund to Aegon Magyarország Pénztárszolgáltató Zrt.

Pursuant to the law we can only transfer your data to others in the following instances:

- the client gives his/her consent to it in an appropriate manner (in the form of an authentic instrument or a private document having full documentary evidence)
- the law gives permission to it

Recipients might be as follows:

- service providers engaged in outsourced activities: external service providers who perform activities related to the Pension Fund's activities, where the performance of such activities requires data management or data processing (e.g.: printing house, administrative service provider). The list of partners performing outsourced activities is published on the Pension Fund's website.
- professionals, such as lawyers and auditors performing professional activities.
- authorities and courts listed in the act regulating the activities of the Pension Fund, within the scope of proceedings as specified by the law.<sup>1</sup>  
(e.g.: Hungarian National Bank, Tax Authority, authority acting as a financial information unit, Hungarian Competition Authority, Financial Arbitration Board, authorities investigating a case in criminal proceedings, the public prosecutor's office, national security service, public notary acting with regard to an estate, the public guardianship authority, a court acting in penal or civil proceedings, as well as in cases of bankruptcy or liquidation)

## DATA TRANSFER TO A THIRD COUNTRY OR AN INTERNATIONAL ORGANIZATION

The IT operations of the data manager are being carried out by the EDC Company (Registry court number: SC367146 registered seat: Aegon Lochside Crescent, Edinburgh Park, Edinburgh EH12 9SE, UK) owned by the owner of Aegon Magyarország Pénztárszolgáltató Zrt., i.e. AEGON N.V. registered seat: Aegonplein 50, 2591 TV, The Hague, The Netherlands, registry court number: 27076669, within the scope of which your data shall go through computers which are located in countries of the AEGON global group of companies within the European Union. Your data shall not be managed in these countries, they will only be transferred technically.

## HOW LONG SHALL WE KEEP YOUR DATA?

If we manage data in connection with the fulfilment of a contract, we will manage data as long as the contract exists, or until such time as civil or penal claims may be enforced with regard to the contractual relationship. It also refers to the event when we do not accept the application for membership and the contract shall not be concluded. We will keep data until the end of the limitation period even in such cases.

After the termination of the contract we will keep data due to the Pension Fund's just interest as long as civil or penal claims may be enforced with regard to the contract, because data may be needed to verify claims in possible future proceedings.

In the event of data management specified by the law, the law itself shall determine the duration until data must be kept.

Pursuant to the Accountancy Act all accounting documents, including the contract itself, must be kept for eight years. Pursuant to the regulations controlling measures necessary for the purposes of the fight against anti-money laundering and the financing of terrorism, data recorded within the scope of such purposes data must be kept for eight years after the termination of the contract.

If data management is based on consent, it will be valid as long as you withdraw your consent. Consent to use your personal data for marketing purposes shall be valid as long as the contract exists, consent to transfer your data to the affiliated companies of Aegon Hungary's group of companies shall be valid for three years and must be renewed every three years, and in the absence of a repeated consent we will not transfer your data.

If data becomes necessary for the purposes of activities serving the Pension Fund's just interests, data may be deleted after such just interests cease to exist.

## WHAT ARE YOUR RIGHTS AGAINST THE DATA MANAGER?

### 1. Access right

It means that you may ask for feedback whether or not data management is in progress and ask for more details, specifically about the following:

- what is the purpose of data management
- categories of related personal data
- where did we transfer data to (if outside the EU, what are the guarantees)
- the term of keeping data
- if we did not ask data directly from the Client, what were the sources of such data
- what are the rights of the relevant client
- the fact of automated decision making or profiling, the logic used, and the consequences.

Upon request we will make a copy of your personal data available to you.

### 2. Correction

If you discover that the personal data on our files are not appropriate (incorrect, incomplete), you may request that they be corrected or completed.

<sup>1</sup> Act XCVI of 1993 on Voluntary Mutual Insurance Funds

### 3. Deletion (cancellation)

Upon your request we will delete your personal data if:

- they are not needed any longer for the purpose which they were recorded for,
- you withdraw your consent and there is no other legal ground verifying data management,
- the Pension Fund manages data out of its own just interests, you object to such data management, and there is no legal reason having priority over it,
- data are managed against the law,
- the law specifies that they be deleted.

For example: Upon concluding the contract you gave us your data and your consent that we can send you promotional materials electronically in the form of emails. If you withdraw your consent, you will not get any marketing messages in emails from us in the future, but we cannot delete your data, because we will need them for the purposes of fulfilling the contract as long as the contract exists.

If we disclosed some data, but we need to delete them pursuant to the above, we will do our best – considering the technology available to us as well as the costs related to execution – in order to make the fact of deletion known to those who received your data, and inform them on the need to delete the links pointing at personal data and copies thereof.

Deletion have further specific restrictions if data are required to enforce claims or for the purposes of public interest (national health, archiving due to scientific and historical research).

### 4. Restrictions to data management

Restrictions to data management may occur in the following instances:

- if you challenge the accuracy of data, and we need time to check it,
- if data management is against the law, but you specifically ask for restriction instead of deletion,
- data are no longer needed for the purpose of data management, but you ask them to be kept in order to submit, enforce or protect legal claims,
- if data is management pursuant to the Pension Fund's just interest, and you object to data management, data management will be restricted until we establish if the Pension Fund's just interest enjoys priority over your objections.

Restriction means that your data will only be stored, except if you ask for some other measures to be taken as well, or if data are needed to submit, enforce or protect legal claims, or if it is necessitated by the protection of another person's rights or by important public interest.

### 5. Right of objection

You may use this right if data management is based on the Pension Fund's just interest. In such an instance the Pension Fund is to verify that such just interest is of primary importance and exceeds the client's interest to data protection.

An example is data management for acquisition purposes. If the client objects to it, we will acknowledge it, and will not contact him/her with our product offers.

### 6. Portability of data

You may request that we hand over to you electronically the data you made available to us, or we transfer such data to a data manager/data processor specified by you, provided that the necessary technical and data security conditions are given. Your request may refer to data that we manage pursuant to your consent, or for the purposes of the fulfilment of the contract, in an automated manner.

## WHAT AUTHORITY CAN YOU TURN TO IF YOU HAVE COMPLAINTS REGARDING DATA MANAGEMENT?

You may contact the a National Authority for Data Protection and Freedom of Information against our data management

Authority's address: 1125 Budapest, Szilágyi Erzsébet fasor 22/c.

Authority's website: [www.naih.hu](http://www.naih.hu)

## DO YOU USE AUTOMATED DECISION-MAKING OR PROFILING?

When making decisions pertaining to data management purposes as specified above we do not apply automated decision-making. We use our clients' personal data (such as age) as well as data pertaining to deposits to deliver tailor-made communication to our clients in our marketing activities. The legal ground to such activity is the Pension Fund's just interest.

## SHALL THE PENSION FUND USE DATA FOR OTHER PURPOSES?

We will not use data you made available to us for purposes other than the ones specified herein.

For the Pension Fund's statement on data protection see the Fund's website: [www.aegonnyugdij.hu](http://www.aegonnyugdij.hu)